

UTILITY PATENT APPLICATION TRANSMITTAL <i>Only for new nonprovisional applications under 37 CFR 1.53(b)</i>		Attorney Docket No. <u>16525</u>
		First Inventor or Application Identifier <u>Christoph Liebtrau et al.</u>
		Title <u>Safety Device for Elevators</u>
		Express Mail Label No. <u>EV 329825740 US</u>
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		MAIL STOP PATENT APPLICATION Commissioner for Patents ADDRESS TO: P. O. Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>20</u>] <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> — Descriptive title of the Invention — Cross References to Related Applications — Statement Regarding Fed sponsored R&D — Reference to sequence listing, a table, or a computer program listing appendix — Background of the Invention — Brief Summary of the Invention — Brief Description of the Drawings (<i>if filed</i>) — Detailed Description — Claim(s) — Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>13</u>]</p> <p>5. Oath or Declaration [Total Pages <u>2</u>]</p> <ol style="list-style-type: none"> <input type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> [Note Box 5 below] <p><u>DELETION OF INVENTORS</u></p> <ol style="list-style-type: none"> <input type="checkbox"/> Signed statement attached deleting inventor(s) named in the prior application, see CFR §§ 1.63(d)(2) and 1.33(b). <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. <u>/</u> Prior application information: Examiner _____ Group/Art Unit _____</p>		
<p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>		
19. CORRESPONDENCE ADDRESS		
<input checked="" type="checkbox"/> Customer Number		or <input type="checkbox"/> Correspondence address below
04859 PATENT TRADEMARK OFFICE		
Name	<u>William J. Clemens, Esq.</u>	
Address		
City		Zip Code
Country	Telephone	Fax
Name (print/type)	<u>William J. Clemens</u>	
Signature	<u>William J. Clemens</u>	
Signature _____ Date <u>September 22, 2003</u>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231
c:\2003\16525.sb05.doc

Certificate of Mailing by Express Mail

"Express Mail" Mailing Label No. EV 329825740 US Date of Deposit September 22, 2003. I hereby certify that this paper or fee is being deposited in the United States "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Terri L. Fox

00746 U.S.P.T.O. 10/667874
09/22/03



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
For FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$ 750)		Complete if known	
		Application Number	
		Filing Date	
		First Named Inventor	Christoph Liebtrau et al.
Examiner Name			
Group/Art Unit			
Attorney Docket No.	16525		

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																				
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Acct. No. <u>13-0005</u> Deposit Acct. Name <u>MacMillan, Sobanski, & Todd, LLC</u> The Commissioner is authorized to: (Check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this action <input type="checkbox"/> Charge fee(s) indicated below, except the filing fee, to the above-identified deposit account.				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>Requesting a publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>Extension for reply within 1st month</td><td></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>Extension for reply within 2nd month</td><td></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>Extension for reply within 3rd month</td><td></td></tr> <tr><td>1254</td><td>1,440</td><td>2254</td><td>Extension for reply within 4th month</td><td></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>Extension for reply within 5th month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td>Petition to revive – unintentional</td><td></td></tr> <tr><td>1501</td><td>1,300</td><td>2501</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>750</td><td>2801</td><td>Request for Continued Examination</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td colspan="4"></td> </tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td colspan="4">SUBTOTAL (3) \$ 0</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	1051	130	2051	Surcharge - late filing fee or oath		1052	50	2052	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	Non-English specification		1812	2,520	1812	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	Requesting a publication of SIR after Examiner action		1251	110	2251	Extension for reply within 1 st month		1252	410	2252	Extension for reply within 2 nd month		1253	930	2253	Extension for reply within 3 rd month		1254	1,440	2254	Extension for reply within 4 th month		1255	1,970	2255	Extension for reply within 5 th month		1401	320	2401	Notice of Appeal		1402	320	2402	Filing a brief in support of an appeal		1403	280	2403	Request for oral hearing		1451	1,510	1451	Petition to institute a public use proceeding		1452	110	2452	Petition to revive – unavoidable		1453	1,300	2453	Petition to revive – unintentional		1501	1,300	2501	Utility issue fee (or reissue)		1502	470	2502	Design issue fee		1503	630	2503	Plant issue fee		1460	130	1460	Petitions to the Commissioner		1807	50	1807	Petitions related to provisional applications		1806	180	1806	Submission of Information Disclosure Statement		8021	40	8021	Recording each patent assignment per property (times number of properties)		1809	750	2809	Filing a submission after final rejection (37 CFR 1.129(a))		1810	750	2810	For each additional invention to be examined (37 CFR 1.129(b))		1801	750	2801	Request for Continued Examination		1802	900	1802	Request for expedited examination of a design application		Other fee (specify) _____								*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) \$ 0			
Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																				
1051	130	2051	Surcharge - late filing fee or oath																																																																																																																																																																					
1052	50	2052	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																					
1053	130	1053	Non-English specification																																																																																																																																																																					
1812	2,520	1812	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																					
1804	920*	1804	Requesting publication of SIR prior to Examiner action																																																																																																																																																																					
1805	1,840*	1805	Requesting a publication of SIR after Examiner action																																																																																																																																																																					
1251	110	2251	Extension for reply within 1 st month																																																																																																																																																																					
1252	410	2252	Extension for reply within 2 nd month																																																																																																																																																																					
1253	930	2253	Extension for reply within 3 rd month																																																																																																																																																																					
1254	1,440	2254	Extension for reply within 4 th month																																																																																																																																																																					
1255	1,970	2255	Extension for reply within 5 th month																																																																																																																																																																					
1401	320	2401	Notice of Appeal																																																																																																																																																																					
1402	320	2402	Filing a brief in support of an appeal																																																																																																																																																																					
1403	280	2403	Request for oral hearing																																																																																																																																																																					
1451	1,510	1451	Petition to institute a public use proceeding																																																																																																																																																																					
1452	110	2452	Petition to revive – unavoidable																																																																																																																																																																					
1453	1,300	2453	Petition to revive – unintentional																																																																																																																																																																					
1501	1,300	2501	Utility issue fee (or reissue)																																																																																																																																																																					
1502	470	2502	Design issue fee																																																																																																																																																																					
1503	630	2503	Plant issue fee																																																																																																																																																																					
1460	130	1460	Petitions to the Commissioner																																																																																																																																																																					
1807	50	1807	Petitions related to provisional applications																																																																																																																																																																					
1806	180	1806	Submission of Information Disclosure Statement																																																																																																																																																																					
8021	40	8021	Recording each patent assignment per property (times number of properties)																																																																																																																																																																					
1809	750	2809	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																					
1810	750	2810	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																					
1801	750	2801	Request for Continued Examination																																																																																																																																																																					
1802	900	1802	Request for expedited examination of a design application																																																																																																																																																																					
Other fee (specify) _____																																																																																																																																																																								
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) \$ 0																																																																																																																																																																				
** or number previously paid, if greater; for Reissues, see above																																																																																																																																																																								

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	William J. Clemens	Reg. No.	26,855
Signature		Date Sept. 22, 2003	Deposit Account User ID

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1800-786-9199) and select Option 2.